**United States Patent and Trademark Office OG Notice s: 05 August 2003**

Information Disclosure Statements  
May Be Filed Without Copies of  
U.S. Patents and Published Applications in  
Patent Applications filed after June 30, 2003

**Background**

The U.S. Patent and Trademark Office (USPTO or Office) regulations concerning Information Disclosure Statements (IDSs) currently require that copies of the cited references be submitted with the IDS listing. See 37 CFR 1.98 (a) (2). In a prior notice in the Official Gazette this requirement was partially waived with respect to U.S. patents and U.S. patent application publications when an applicant submitted an IDS using the Office's electronic filing system (as an electronic IDS, eIDS). See Legal Framework for the Use of the Electronic Filing System, 1263 Off. Gaz. Pat. Off. 60, 10/8/2002, Part V.

All U.S. applications<sup>1</sup> filed after June 30, 2003 are stored in electronic form in the Office's Image File Wrapper (IFW) system.<sup>2</sup> IDSs submitted for these electronic applications are processed by Office staff to create an electronic link which permits cited U.S. patents and U.S. patent application publications to be conveniently viewed by examiners through the Office's patent search system. This feature enables the Office to avoid scanning these documents into IFW, obviating the need for their submission.

**Waiver**

The Office hereby waives the requirement under 37 CFR 1.98 (a) (2) (i) for submitting a copy of each cited U.S. patent and each U.S. patent application publication for all U.S. national patent applications filed after June 30, 2003 and for all international applications that have entered the national stage under 35 USC 371 after June 30, 2003. See 37 CFR 1.491(b). For all patent applications filed on or before June 30, 2003, copies of cited U.S. patents and patent application publications are still required unless an eIDS is filed.

Applicants are still required to submit copies of foreign patent documents and non-patent literature in accordance with 37 CFR 1.98(a) (2).

**FOR FURTHER INFORMATION CONTACT:**

Questions concerning this waiver may be submitted to Jay Lucas by e-mail at Jay.Lucas@uspto.gov or by telephone at (703) 308-6868. Comments may also be submitted by mail addressed to: Commissioner for Patents, Box Comments - Patents, Post Office Box 1450, Alexandria, VA 22313-1450, or by facsimile to (703) 305-2919, marked to the attention of Jay Lucas.

STEPHEN G. KUNIN  
Deputy Commissioner for  
Patent Examination Policy

1 Except in special situations, such as in applications under secrecy order or containing national security markings.

2 See Notification of United States Patent and Trademark Office Patent Application Records being Stored and Processed in Electronic Form, 1271 Off. Gaz. Pat. Off. 100, 6/17 2003.



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s): Small et al.  
Assignee: EKC Technology, Inc.  
Title: Compositions for Chemical-Mechanical Polishing and Method of Using Same  
Serial No.: 10/619,901 Filed: July 14, 2003  
Examiner: Unknown Group Art Unit: Unknown  
Docket No.: CHEM.006US0

Certificate of Mailing Under 37 CFR 1.8

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope address to: Commissioner for Patents, Washington, D.C. 20231, on 9/4/03

Gileen Borne  
Signature.

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

**INFORMATION DISCLOSURE STATEMENT  
UNDER 37 CFR § 1.97(b)**

Dear Sir:

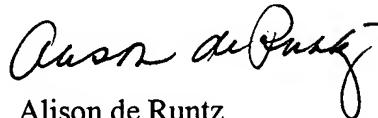
Pursuant to 37 C.F.R. § 1.56, § 1.97 and § 1.98, the documents listed on the accompanying form PTO-1449 are called to the attention of the Examiner for the above patent application. Copies of certain documents are not enclosed and certain other documents are enclosed pursuant to the USPTO OG Notice of August 5, 2003, a copy of which is enclosed. The Examiner is invited to call the undersigned to request a copy of any document not provided herewith.

Listing of these documents shall not be construed as:

1. an admission that the documents are necessarily prior art with respect to the instant invention;

2. a representation that a search has been made; or
3. an admission that the information listed herein is, or is considered to be, material to patentability as defined in § 1.56(b).

Respectfully submitted,



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U.S. Department of Commerce, Patent and Trademark				Atty. Docket No.		Application No.		
INFORMATION DISCLOSURE STATEMENT BY APPLICANT				CHEM.006US0		10/619,901		
				Applicant(s)				
(Use several sheets if necessary)				Small et al.				
				Filing Date		Group		
				July 14, 2003		Unknown		
<b>U.S. Patent Documents</b>								
*Examiner Initial		Document Number	Date	Name	Class	Subclass	Filing Date If Appropriate	
	1	4,655,957	4/7/87	Chromecek et al.				
	2	4,855,127	8/8/89	Abrutyn et al.				
	3	4,870,145	9/26/89	Chromecek				
	4	4,948,818	8/14/90	Carmody et al.				
	5	4,962,170	10/9/90	Chromecek et al.				
	6	5,100,477	3/31/92	Chromecek et al.				
	7	5,575,825	11/19/96	Iida et al.				
<b>U.S. Published Patent Application Documents</b>								
*Examiner Initial		Document Number	Date	Name	Class	Subclass	Filing Date If Appropriate	
<b>Foreign Patent Documents</b>								
							<b>Translation</b>	
		Document	Date	Country	Class	Subclass	Yes	No
	8	EP 0 393 510 A1	10/24/90	EPO				
	9	EP 1 020 488 A2	7/19/00	EPO				
	10	EP 1 020 501 A2	7/19/00	EPO				
	11	EP 1 036 836 A1	9/20/00	EPO				
<b>OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.)</b>								
	12	"Acrylic Ultra-Fine Powder – MP Series," Esprit Chemical Company of Sarasota, Florida.						
	13	"Monodispersed Grains for Photographic Films – 'SF Series'," Esprit Chemical Company of Sarasota, Florida.						
	14	"MX Series – Mono Dispersed PMMA Beads," Esprit Chemical Company of Sarasota, Florida.						
Examiner			Date Considered					
<p>*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with your communication to applicant.</p>								

